# Fetal Alcohol Spectrum Disorders (FASDs) Common, Complex and Unrecognized

# AMERSA 47<sup>th</sup> Annual Conference November 3, 2023 Washington DC



# Agenda

- **Overview** Daniel P. Alford, MD, MPH
- Personal Experience Susan Terwey, MS
- Clinical Presentation & Treatment.....Vincent C. Smith, MD, MPH

# **Learning Objectives**

- Describe the full spectrum and prevalence of FASDs
- Summarize the neuropathology of prenatal alcohol exposure (PAE)
- Discuss stigma and shame as it relates to PAE
- Describe clinical presentations and evidence informed care of individuals with an FASD
- Name prevention efforts and national resources that support individuals and families affected by FASDs

Learning Collaborative

## **Fetal Alcohol Toxicity**

- Experimental and clinical studies demonstrate alcohol is a teratogen
- Prenatal alcohol exposure can impair brain development throughout all stages of gestation
  - Alcohol quickly equilibrates between the maternal and fetal compartments and rapidly reaches the fetus
  - The amniotic sac serves as a reservoir for alcohol, prolonging fetal exposure
  - Approximately 1 in every 13 infants prenatally exposed to alcohol will develop FASD
- Variables leading to fetal damage are complex and interrelated
  - Maternal and fetal genetics, maternal health and nutrition
  - Alcohol dose, pattern and timing of exposure
  - Binge drinking (4+ drinks/occasion) is associated with more severe effects

Lemoine P et al. *Ouest Med*. 1968 Jones K et al. *Lancet* 1973 Abel EL et al. *J Pharm Exp Ther* 1978 Chernoff GF *Teratology*, 1977 Lebel C, et al. *Neuropsychol Rev.* 2011 Petrelli B, et al. *Biochem Cell Biol.* 2018 Warren KR et al. Alc Res & Health. 2011 Popova S, et al. Biochem Cell Biol 2018





#### **Fetal Alcohol Spectrum Disorders (FASDs)**



• FAS: Fetal Alcohol Syndrome





## Fetal Alcohol Spectrum Disorders (FASDs)

- FASDs are a range of conditions attributable to prenatal alcohol exposure that include behavioral, learning, and physical problems
- In the US, FASDs are the most common preventable developmental disabilities
- FASDs are **permanent**
- In the US, an estimated 1-5% of 1<sup>st</sup> grade children may have an FASD<sup>1</sup>
- FASDs occur in all socioeconomic and ethnic groups<sup>2</sup>



- 1. May PA et al. JAMA. 2018
- 2. Popova S et al. *BMC Public Health*. 2019



#### **Comparison of FASDs and Other Conditions**

A A A							
FASDs       Affects 1 in 20 SCHOOL AGED CHILDREN         Actual FASD numbers unknown, these       Affects 1 in 20 SCHOOL AGED CHILDREN							
are likely conservative estimates							
Autism Spectrum Disorder	Affects <b>1 in 4</b>	<b>4</b> CHILDREN	2.3%				
Down Syndrome	0.14% Affects	<b>1 in 700</b> BIRT	HS				
- Spina Bifida	0.004% Affects	5 <b>1 in 2,758</b> BIF	RTHS				
0.00	0% 1.000%	2.000%	3.00	0% 4.00	0% 5.00	0% 6.00	0%

May JA, et al. *JAMA*. 2018 Popova S, et al. *Lancet Glob Health*. 2017 Williams JF, et al. *Pediatrics*. 2015 www.healthline.com/health/birth-defects www.cdc.gov/ncbddd/autism/data.html www.ndss.org/about-down-syndrome/down-syndrome/ www.cdc.gov/ncbddd/spinabifida/data.html



#### **Prevention Challenge**

#### Non-Pregnant Women of Reproductive Age

- 54% report alcohol use in previous 30 days<sup>1</sup>
- 18% report binge drinking in previous 30 days <sup>1</sup>

#### Unintended Pregnancies

- 49% of pregnancies are unplanned<sup>1</sup>
- Pregnancy may not be known for up to 6 weeks or later into the pregnancy<sup>2</sup>

#### **Pregnant Women**

- 13.5% report alcohol use in previous 30 days<sup>3</sup>
- 5% reported binge drinking in previous 30 days<sup>3</sup>

1. Tan CH, et al. Morb Mortal Wkly Rep. 2015



#### **Reasons for Alcohol Use During Pregnancy** 4 Themes

#### Theme 1: Influence of individual beliefs

- Belief alcohol has beneficial properties
- Belief alcohol is harmful only in specific types and quantities
- Belief alcohol is less harmful than other prenatal exposures (e.g., smoking)

#### Theme 2: *Influence of culture*

- Social acceptability and pressure for alcohol consumption
- Alcohol consumed as part of tradition and custom
- Alcohol consumed based on intuitive decision making influenced by personal/peer experiences in the community



#### **Reasons for Alcohol Use During Pregnancy** 4 Themes

#### Theme 3: Influence of knowledge and advice

- Consumed alcohol for other health conditions (e.g., nausea)
- Lack of awareness/knowledge of the adverse impacts of alcohol on the fetus
- Insufficient or mixed advice from medical practitioners

#### Theme 4: Influence of pregnancy circumstances

- Consumed alcohol as a coping mechanism for adverse events during pregnancy
- Unplanned or unwanted pregnancy
- Alcohol use disorder

#### **Education Gaps & Mixed Messaging**

- Lack of education and awareness among health care providers
  - Only 16% of pregnant persons with known past 30-day alcohol consumption were advised by a health care provider to quit or reduce their alcohol use (Luong J, et al. MMWR 2023)
- Mixed messaging...

"more than the occasional drink is likely perfectly fine,...Specifically, one to two drinks a week in the first trimester, and up to a drink a day in the second and third trimester, is likely safe"

**Expecting Better** by Emily Oster



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 Campaigns that use blaming and shaming language, such as "FASD is 100% preventable", can stigmatize and isolate pregnant individuals who use alcohol 

# **Personal Experience**

### Susan Terwey, MS



## **Atypical?**

- · Caucasian
- . Middle class
- . Master Level education
- Professional in the FASD Field 13 years
- . Social Drinker
- Infertility issues adoptions, unplanned pregnancy



#### **Parenting History**

- . Foster mom to kids with FASD and prenatal alcohol exposure
- Infertility issues adoptions, unplanned pregnancy
- . Prenatal care





#### Realizing I was a "Birth Mom"

- Bio son was the most challenging of all: sensory, high risk, refusals/hiding, behavior room, learning gaps
- School Special Ed assessments (504), Psych eval no questions about prenatal alcohol exposure
- Anger management, 2 school changes
- Neighborhood alcohol use
- FASD lightbulb flickers
- 1<sup>st</sup> detox at age 14 intake interview
- Juvenile court charges County social worker, FASD Assessment
- Adult detox interview
- Awareness of adults with SUD dealing with undiagnosed FASD issues

# The state of the science of Fetal Alcohol Spectrum Disorders



Jeffrey R. Wozniak, Ph.D. Professor Department of Psychiatry & Behavioral Sciences University of Minnesota



MASONIC INSTITUTE FOR THE DEVELOPING BRAIN







National Institute on Alcohol Abuse and Alcoholism



#### How and when does alcohol disrupt neurodevelopment?

- 1. First trimester (gastrulation):
- Pregnancy loss
- Impact on the neural tube
- Small brain, eye defects, facial dysmorphology
- 2. Second trimester (neurogenesis):
- Impact on cell multiplication
- Abnormal migration of neurons
- 3. Third trimester (synaptogenesis):
- Impact during the brain's growth spurt
- Effects on synaptogenesis / long lasting impacts on plasticity





Miller et al. (1989), J. Comp Neurol., 287, 326-338



leraci & Herrera (2006), PLoS One

Medina, A.E. The Neuroscientist, 17(3): 274-287

#### Sulik et al. 1981: Gastrulation model



- <u>Day 7</u> (day 17 or 18 in human); 0.2 BAC (5-6 drink equiv. two doses)
  Craniofacial effects
- Forebrain, midline brain anomalies, callosum, hippocampus, basal ganglia
- <u>Day 8.5</u>: equivalent to week 4 (day 21-24) in humans -> different pattern of anomalies

Sulik, K.K. et al, 1981, Science 214: 936-938; Lipinski et al., 2012, PLoS One 7(8):e43067

#### Apoptosis from ethanol exposure (single "binge" model)

- Third-trimester model (brain growth spurt; 7-day-olds)
- Millions of neurons are signaled to self-destruct (apoptosis)
- Significant behavioral and learning deficits



Olney JW, Tenkova T, Dikranian K, Qin YQ, Labruyere J, et al. Ethanol-induced apoptotic neurodegeneration in the developing C57BL/6 mouse brain. *Brain Res Dev Brain Res.* 2002;133:115–126.

#### An example of co-occurring risk factors in PAE

#### **Reduced Secondary Myelination**





Third-trimester model in rats: Iron deficiency exacerbates damage from alcohol (myelination, in this case); In humans, myelination occurs from week 14 into adolescence.

Rufer ES, Tran, TD, Attridge, MM, Andrzejewski, ME, Flentke, GR, & Smith, SM. (2012) PLoS One, 7(10), doi: 10.1371/journal.pone.0047499.

DOI: 10.1111/acer.14846

#### ORIGINAL ARTICLE

Prenatal alcohol exposure contributes to negative pregnancy outcomes by altering fetal vascular dynamics and the placental transcriptome

Marisa R. Pinson<sup>1</sup> | Alexander M. Tseng<sup>1</sup> | Amy Adams<sup>1</sup> | Tenley E. Lehman<sup>1</sup> | Karen Chung<sup>1</sup> | Jessica Gutierrez<sup>2</sup> | Kirill V. Larin<sup>2</sup> | Christina Chambers<sup>3,4</sup> | Rajesh C. Miranda<sup>1,5,6</sup> | Collaborative Initiative on Fetal Alcohol Spectrum Disorders



- Mouse model <u>single exposure</u>
- Disruption in fetal blood flow
- Altered gene expression in the placenta
- Intrauterine growth restriction
- Ethanol-exposed fetuses were shorter and weighed less

#### The influence of paternal alcohol consumption on offspring

- In large <u>human</u> cohort: association between father's drinking and child behavior (anxiety & aggression)
- <u>Animal</u> studies have shown:
  - Paternal peri-conception alcohol consumption is associated with offspring hyperactivity (Abel et al. 1993)
  - Histone modifications (epigenetic effects) (Cambiasso et al. 2022)

Luan et al. (2022); Sci Rep; 12(1): 1508; Abel et al. (1993); Neurotoxicol Teratol; 15(6): 445–9. Cambiasso et al., 2022; J. Assist Reprod Genet; 39(2): 441-459.

# What have we learned about neurodevelopmental impacts of alcohol from people with FASD?

#### Major structural anomalies sometimes occur in FASD



**Typical Development** 



Fetal Alcohol Syndrome

#### **Diffusion imaging reveals white matter anomalies in FASD**



Wozniak, J.R. & Muetzel, R.L. (2011). What Does Diffusion Tensor Imaging Reveal About the Brain and Cognition in Fetal Alcohol Spectrum Disorders? Neuropsychology Review, 21(2), 133-147.

Inter-hemispheric Fiber Tractography through Corpus Callosum

ALCOHOLISM: CLINICAL AND EXPERIMENTAL RESEARCH

Vol. 35, No. 5 May 2011

#### Inter-Hemispheric Functional Connectivity Disruption in Children With Prenatal Alcohol Exposure

Jeffrey R. Wozniak, Bryon A. Mueller, Ryan L. Muetzel, Christopher J. Bell, Heather L. Hoecker, Miranda L. Nelson, Pi-Nian Chang, and Kelvin O. Lim





**Figure 1.** fMRI time-series from one <u>control subject</u> illustrating *high correlation* between BOLD signal change in right and left medial orbital frontal cortex.



**Figure 2.** fMRI time-series from one <u>FASD subject</u> illustrating *low correlation* between BOLD signal change in right and left medial orbital frontal cortex.

#### **Cortical complexity is altered in FASD**



Typical cortical folding

Alcohol's impact on cortical folding

Cortical folding and cognition

Hendrickson, et al. (2017). Cortical gyrification is abnormal in children with Prenatal Alcohol Exposure. Neuroimage: Clinical. 15, 391-400.



ScienceDirect



TERATOLOGY



Neurotoxicology and Teratology Available online 21 November 2020, 106944 In Press, Journal Pre-proof (?)

Full Length Article

Hippocampal subfield abnormalities and memory functioning in children with fetal alcohol spectrum disorders

Donovan J. Roediger <sup>c</sup>, Alyssa M. Krueger <sup>c</sup>, Erik de Water <sup>c</sup>, Bryon A. Mueller <sup>c</sup>, Christopher A. Boys <sup>c</sup>, Timothy J. Hendrickson <sup>c</sup>, Mariah J. Schumacher <sup>c</sup>, Sarah N. Mattson <sup>a</sup>, Kenneth L. Jones <sup>b</sup>, Kelvin O. Lim, CIFASD <sup>c</sup>, Jeffrey R. Wozniak ° & 🖾

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#### https://doi.org/10.1016/j.ntt.2020.106944

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# An example from a non-clinical sample

Adolescent Brain Cognitive Brain Development (ABCD) Birth Cohort Study:

- 7201 unexposed
- 2518 exposed

Figure shows relative behavioral and cognitive deficits for exposed vs. unexposed children

A. Psychological, Behavioral, and Cognitive Outco	omes		
	B (95% CI)		
CBCL total problems score	1.65 (1.14, 2.16), p<0.001		
Working memory	1.36 (0.69, 2.02), p<0.001		•
CBCL externalizing factors	1.23 (0.75, 1.70), p<0.001		•
CBCL internalizing factors	1.18 (0.67, 1.68), p<0.001		•
Executive function and cognitive flexibility	1.18 (0.46, 1.90), p=0.001		•
Executive function, attention, and inhibition	1.06 (0.41, 1.72), p=0.001		•
Processing speed	-0.68 (-1.74, 0.38), p=0.21		
Episodic memory	0.62 (-0.14, 1.38), p=0.11	•	
CBCL somatic complaints	0.52 (0.23, 0.82), p<0.001	· · · · · · · · · · · · · · · · · · ·	-
CBCL thought problems	0.49 (0.21, 0.77), p<0.001	· · · · · · · · · · · · · · · · · · ·	
K-SADS hallucinations score	0.44 (0.11, 0.78), p=0.19		-
CBCL attention problems score	0.40 (0.13, 0.67), p=0.004		
CBCL anxious or depressed score	0.36 (0.07, 0.64), p=0.01		
CBCL aggressive behavior score	0.30 (0.04, 0.55), p=0.02		
CBCL withdrawn or depressed score	0.30 (0.03, 0.57), p=0.03		
CBCL rule breaking behavior score	0.25 (0.04, 0.55), p=0.02		
RAVLT long delay (30 minutes)	0.22 (0.06, 0.37), p=0.005		
K-SADS unspecified bipolar and related disorder	-0.20 (-0.34, 0.05), p=0.18		
K-SADS separation anxiety disorder	0.19 (0.10, 0.27), p=0.03		
UPPS-P sensation seeking score	0.19 (0.06, 0.32), p=0.004		
UPPS-P lack of planning score	0.18 (0.06, 0.29), p=0.002		
K-SADS oppositional defiant disorder	0.16 (0.09, 0.23), p=0.03		
K-SADS obsessive-compulsive disorder	0.15 (0.07, 0.24), p=0.08		
RAVLT learning score	0.15 (0.06, 0.25), p=0.001		
RAVLT immediate delay	0.14 (0.00, 0.29), p=0.05		
K-SADS delusions score	0.12 (-0.07, 0.31), p=0.52		
K-SADS posttraumatic stress disorder	-0.11 (-0.30, 0.08), p=0.57		
UPPS-P lack of perseverance score	0.1 (-0.01, 0.21), p=0.06		
CBCL social problems score	0.09 (-0.13, 0.30), p=0.43		
K-SADS attention deficit hyperactivity disorder	0.09 (0.02, 0.15), p=0.17	-	
UPPS-P negative urgency score	0.09 (-0.04, 0.22), p=0.19		
BIS/BAS behavioral inhibition score	0.08 (-0.06, 0.22), p=0.24		
BIS/BAS fun seeking score	0.06 (-0.07, 0.19), p=0.36		
Cash Choice Task	0.05 (0.00, 0.11), p=0.30		
K-SADS social anxiety, selective mutism disorder	-0.04 (-0.16, 0.07), p=0.73		
K-SADS major depressive disorder	-0.03 (-0.18, 0.13), p=0.85		
K-SADS conduct disorder	0.03 (-0.12, 0.18), p=0.84		
K-SADS generalized anxiety disorder	-0.02 (-0.14, 0.10), p=0.85	- <b>+</b>	
UPPS-P positive urgency score	0.02 (-0.12, 0.17), p=0.73		
BIS/BAS reward responsiveness score	-0.02 (-0.14, 0.09), p=0.70		
BIS/BAS drive score	0.01 (-0.14, 0.15), p=0.93		

0.00 (-0.44, 0.44), p=1.0

0.00 (-0.06, 0.06), p=0.98

0

0.5

1.0

1.5

K-SADS panic disorder

K-SADS specific phobia

Lees et al. 2021 Association of prenatal alcohol exposure with psychological, behavioral, and neurodevelopme ntal outcomes in children from the ABCD study; American Journal of Psychiatry, 177:11

2.5

2.0

## Example of the cycle of secondary disability



#### FASD and predisposition for substance use?

Those with FASD are at high risk for substance use from:

- 1. Genetic / early life adversity
- 2. Physiological change (GABA receptor sensitivity; Dopamine)

Alati et al. (2008. Drug Alcohol Depend 98:136–143; Baer et al. (1998). J Stud Alcohol 59:533–543; O'Connor, Quattlebaum, Castaneda, & Dibble (2016). ACER, 40; Chotro et al (2007). Neurosci Biobehav Rev 31:181–191.

#### Is medication effective for FASD?

#### **Medications for FASD**

- Animal studies prenatal ethanol exposure leads to <u>hyper-sensitivity to</u> <u>methylphenidate</u> later in life
  - Means et al. (1984)
  - Ulug & Riley (1983)
- Human studies:
  - Oesterheld et al (1998): stimulants treated <u>hyperactivity</u>, <u>but not attention</u> <u>deficits</u> in those with FASD
  - Poor responsivity to stimulants:
    - O'Malley & Hagermann (1998)
    - O'Malley & Nanson (2002)
    - Snyder et al. (1997)

### **Psychotropic medication algorithm for FASD**

(Mansfield Mela, MBBS; U. Saskatchewan: canfasd.ca/algorithm)

- Consensus-based comprehensive decision-tree addressing four common symptom clusters in FASD:
  - Hyperarousal
  - Emotion dysregulation
  - Hyperactivity / inattention
  - Cognitive inflexibility
- First and second line medications recommended



Mela M, et al. (2918). The utility of psychotropic drugs on patients with Fetal Alcohol Spectrum Disorder (FASD): a systematic review. Psychiatry and Clinical Psychopharmacology. 2018:1-10)

Mela et al. (2020). Treatment algorithm for the use of psychopharmacological agents in individuals prenatally exposed to alcohol and/or with diagnosis of fetal alcohol spectrum disorder (FASD). J. Popul Ther Pharmacol, 27(3):e1-e13.

### How do we target <u>neurodevelopment</u> in FASD?
#### **Example: Choline is an essential nutrient for humans**

- Classified as essential nutrient
- Cells die by apoptosis without it
- Multiple needs:
  - 1. Cell membrane
  - 1. Lipid metabolism
  - 2. Precursor to acetylcholine
  - 3. Gene expression (development)

Deficiency -> neural tube disorders

(Albright et al., 1998; Eagle, 1955; Zeisel et al., 1997; Cho et al., 2006); Zeisel et al., 2003; (Shaw et al., 2004; Smithells et al., 1976; Zeisel, 2009b; (Albright et al., 1999a; Albright et al., 1999b





### 2009 Phase 1 study: delivery system development

- Two arms: 500 mg. choline vs. placebo per day
- Based on adequate intake of 200-250 mg. per day
- Fruit-flavored drink mix
- 9 month duration



# The American Journal of CLINICAL NUTRITION

Choline supplementation in children with fetal alcohol spectrum disorders: a randomized, double-blind, placebo-controlled trial<sup>1,2</sup>

Jeffrey R Wozniak,<sup>3</sup>\* Anita J Fuglestad,<sup>3</sup> Judith K Eckerle,<sup>4</sup> Birgit A Fink,<sup>3</sup> Heather L Hoecker,<sup>6</sup> Christopher J Boys,<sup>4</sup> Joshua P Radke,<sup>7</sup> Maria G Kroupina,<sup>4</sup> Neely C Miller,<sup>4</sup> Ann M Brearley,<sup>5</sup> Steven H Zeisel,<sup>8</sup> and Michael K Georgieff<sup>4</sup>

#### Elicited Imitation (memory) performance



Fig 1. El items after short delay

• 21 point increase for young choline

#### Wozniak et al. Journal of Neurodevelopmental Disorders (2020) 12:9 https://doi.org/10.1186/s11689-020-09312-7

Journal of Neurodevelopmental Disorders

#### RESEARCH

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#### Four-year follow-up of a randomized controlled trial of choline for neurodevelopment in fetal alcohol spectrum disorder

Jeffrey R. Wozniak<sup>1,2\*</sup>, Birgit A. Fink<sup>1</sup>, Anita J. Fuglestad<sup>3</sup>, Judith K. Eckerle<sup>1</sup>, Christopher J. Boys<sup>1</sup>, Kristin E. Sandness<sup>1</sup>, Joshua P. Radke<sup>4</sup>, Neely C. Miller<sup>1</sup>, Christopher Lindgren<sup>1</sup>, Ann M. Brearley<sup>1</sup>, Steven H. Zeisel<sup>5</sup> and Michael K. Georgieff<sup>1</sup>

	Choline	Placebo		
Variables	(n = 15)	(n = 16)	t or χ²	Р
Gender (male %)	53.3	52.943.8	0.27	.60
Baseline				
Age, M (SD)	3.81 (0.823)	3.95 (0.75)	0.51	.62
IQ	84.53 (12.57)	78.59 (21.48)	0.98	.332
Follow Up				
Age, M (SD)	8.57 (1.01)	8.59 (0.99)	0.05	.97
Height, M (SD)	128.84 (7.95)	129.61 (8.05)	0.28	.78
Weight, M (SD)	28.73 (11.36)	29.23 (7.07)	0.15	.89

Table 3 Stanford–Binet Intelligence Scale—Fifth Edition group comparison results							
EMMean (SE)	Placebo $(n = 16)$	$\frac{\text{Choline}}{(n=14)^{a}}$	Statistic	Significance	Effect size		
Verbal IQ	88.3 (2.8)	90.6 (3.1)	F (1, 28) = 0.29	p = 0.60	$PE^2 = 0.01$		
Non-Verbal IQ	85.6 (2.1)	92.9 (2.4)	F (1, 28) = 5.17	p = 0.03*	$PE^2 = 0.17$		
Fluid Reasoning	88.1 (3.7)	90.3 (4.1)	F (1, 28) = 0.15	p = 0.70	$PE^2 = 0.01$		
Knowledge	85.0 (2.3)	87.5 (2.6)	F (1, 28) = 0.50	p=0.49	$PE^2 = 0.02$		
Quantitative Reasoning	93.1 (2.1)	92.7 (2.3)	F (1, 28) = 0.02	p = 0.90	$PE^2 = 0.00$		
Visual-Spatial Processing	91.3 (3.0)	98.3 (3.3)	F (1, 28) = 2.38	p=0.14	$PE^2 = 0.08$		
Working Memory	84.0 (2.5)	94.4 (2.8)	F (1, 28) = 7.74	p=0.01*	$PE^2 = 0.23$		
Full-Scale IQ	86.1 (2.4)	91.1 (2.7)	F (1, 28) = 1.86	p = 0.19			

## Summary

- Alcohol has numerous negative impacts on the developing brain
- Even single episodes can be harmful
- Cognitive & behavioral effects are often present, even when the craniofacial elements are not
- We've learned a great deal by collaborating with individuals with lived experience of FASD who contribute to research
- New interventions may take advantage of windows of neuroplasticity and opportunities to optimize remaining brain development

# FASDs Clinical Presentation and Evidence-Informed Treatment

### Vincent C. Smith, MD, MPH

**Division Chief of Newborn Medicine** 

**Boston Medical Center** 

**Professor of Pediatrics** 

**Boston University Chobanian & Avedisian School of Medicine** 









# **Clinical Presentation**



### **Brain Areas Affected By Prenatal Alcohol Exposure**

#### **Frontal Lobes** impulses and judgment; controls. executive function Hypothalamus appetite, emotions, temperature, and pain sensation Amygdala Cerebellum emotions

coordination and movement

#### **Corpus Callosum**

passes information from the left brain (rules, logic) to the right brain (impulse, feelings) and vice versa

#### Hippocampus

memory, learning, emotion

#### **Basal Ganglia**

spatial memory, switching gears, working toward goals, predicting behavioral outcomes, and the perception of time

#### Source: Dr. Sarah Mattson, University of San Diego



### **Neurobehavioral Disorder**

#### Neurobehavioral Characteristics

Neurocognition

Learning, memory, math, executive functioning, visual-spatial, IQ/DD

Self-regulation

Attention, impulsivity, emotional lability, outbursts

• Adaptive Functioning Communication, social, daily living skills, motor

#### **Physical Characteristics**

#### ~20% of children affected

- Growth restriction
- Facial features:
  - Smooth philtrum
  - Thin upper lip
  - Short palpebral fissures

## **Facial Features**

It's a common myth that all people with an FASD have a specific set of facial features

The fact is, <u>only a small</u> percent of people with FASD have these facial features; for the vast majority of individuals with an FASD, their disability is invisible

> Examples of facial phenotypes across race and age



**SAFEST** Choice

Copyright Susan Astley Hemingway 2012. https://depts.washington.edu/fasdpn/htmls/fas-face.htm



# **Diagnosis of FASD**



### **FASD Diagnostic Schema Available**

#### **Currently available guidelines:**

- Updated Clinical Guidelines for Diagnosing FASD (Hoyme et al, *Pediatrics*, 2016)
- Canadian guidelines for FASD diagnosis (Cook et al, *CMAJ*, 2015)
- National Task Force on Fetal Alcohol Syndrome and Fetal Alcohol Effect (CDC 2004)
- FASD 4-digit diagnostic code (Astley and Clarren, *Alcohol*, 2000)

#### Historically available guidelines:

- A practical clinical approach to diagnosis of FASD: clarification of the 1996 Institute of Medicine criteria (Hoyme et al, Pediatrics, 2005)
- FASD: Canadian guidelines for diagnosis (Chudley et al, CMAJ) 2005
- Fetal Alcohol Syndrome (The Lancet, 1973)

The Diagnostic and Statistical Manual version 5 published by the American Psychiatric Association also proposes criteria for neurobehavioral disorder associated with prenatal alcohol exposure.



### **Assessment Domains for Diagnosis**

- History of Prenatal Alcohol Exposure
- CNS (structural, neurologic, functional)
- Growth
- Dysmorphic Facial Features





## Screening Concepts or Information Needed to Understand Potential PAE

- General alcohol use in the home
- Amount and type(s) of alcohol consumed <u>before</u> finding out they were pregnant
- Amount and type(s) of alcohol consumed <u>after</u> finding they were pregnant
- Occurrence of binge drinking (4 or more drinks in one sitting)
  - Women more likely to disclose this behavior
  - Indicative of general drinking
  - Most harmful to the fetus



### When to Consider a FASD Diagnosis?

- Developmental, cognitive, or behavioral concerns
- Complex medical concerns (e.g., cardiac)
- Growth deficits
- History of maternal alcohol or drug use
- History of adoption or child welfare involvement
- A sibling diagnosed with a FASD
- Dysmorphic facial characteristics associated with FAS are present



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## **Diagnostic Dilemmas**



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## **FASDs Resources**

The AAP FASD Toolkit: aap.org/fasd

Comprehensive, one-stop resource American Academy of Pediatrics DEDICATED TO THE HEALTH OF ALL CHILDREN





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#### **Fetal Alcohol Spectrum Disorders**

Home / Patient Care / Fetal Alcohol Spectrum Disorders



We've assembled resources related to Fetal Alcohol Spectrum Disorders (FASD) to raise awareness of individuals with an FASD, promote screening for prenatal exposure to alcohol and encourage referral for diagnostic evaluations for an FASD. The goal is to build the capacity of pediatricians, nonphysician clinicians, and allied health professionals to ensure that all individuals with an FASD, and their families, receive a diagnosis and care in their medical home for any condition along the FASD continuum.

#### Fetal Alcohol Spectrum Disorder Overview

#### Flow Diagram for Medical Home Evaluation of FASDs

1



	MEDICAL HOME HEALTH MAINTENANCE VISIT with developmental surveillance	5       Gather data specific to evaluating for FASD:       C.       Smooth philtrum         A.       Height and/or weight at or below       D.       Thin upper lip         10 <sup>th</sup> percentile (at any age)       E.       CNS abnormalities         B.       Short palpebral fissures       F.       Alcohol use in pregnancy		
<	Any FASD • Signs or symptoms? • Risks?	<ul> <li>6 If ALL 5 A-E present:</li> <li>1. Diagnose FAS or refer to genetics for diagnosis; consider DSM-5 diagnosis of ND-PAE</li> <li>2. Use FAS Guidelines for management strategies</li> <li>3. Refer to: early intervention services/school evaluation; FAS/FASD clinic; developmental pediatrics or neuropsychology</li> </ul>		
	• Parent concerns?	<ul> <li>7 If one or more 5A-F present:</li> <li>1. Refer to FAS/FASD clinic and/or best available FASD professionals</li> <li>2. Refer to early intervention services/school evaluation</li> </ul>		
<	Are there developmental concerns?	<ul> <li>8 If only 5E and/or 5F present:</li> <li>1. Consider ICD-listed Neurobehavioral Disorder; DSM-5 diagnosis of ND-PAE; 315.8 (DSM-5)</li> <li>2. Refer to neurology, developmental pediatrics, and neuropsychology</li> <li>3. Consider referral to genetics</li> <li>4. Refer to early intervention services/school evaluation</li> </ul>		
1	4 Schedule next: MEDICAL HOME HEALTH MAINTENANCE VISIT	<ul> <li>Refer as indicated to diagnostic and developmental services</li> <li>If NONE of 5A - 5F present:         <ol> <li>If exposure suspected/not confirmed consider FASD clinic</li> <li>Consider referral to genetics</li> </ol> </li> <li>Developmental Services as needed</li> </ul>		

https://www.aap.org/en-us/Documents/fasdtoolkit\_flow\_diagram.pdf



### **Potential Benefits of a Diagnosis**

- Parental relief
- Access to evidence-based interventions
- Avoids unnecessary testing, referrals, and interventions
- Reduce recurrence





# **Non-Pharmacologic Treatments**



## **Evidence Informed Programs for FASD**

- Zones of Regulation (late preschool thru adulthood)
- Math Interactive Learning Experience (MILE)
- Go FAR
- Parents and Children Together (PACT)
- Families Moving Forward
- Good Buddies

Access to some of these programs remains limited in many communities

A fundamental element is teaching parents how to interact with their children at home

### **The Zones of Regulation**

#### **Zone of Regulation** (modified for children with FASDs)

- Zones of Internal states
  - Blue = low/under arousal
  - Green = optimal
  - Yellow = increased arousal
  - Red = high arousal, low emotional control
- Practical skills and strategies provided for each Zone

- Teaches skills & strategies
- Includes parent education and skill building
- Teaches children in group settings
- Can be modified for the pediatric setting
  - Created by an occupational therapist, Leah Kuypers
  - Zones of regulation: systematic, cognitive-behavioral approach to teach how to regulate feelings, energy & sensory needs

**SAFEST** Choice

Learning Collaborative

# Math Interactive Learning Experience (MILE)

Ages: 3-9 years

- 6-week intervention
- Improves math skills and handwriting
- Improves behavior per parent report

- Educate parents and teachers about FASD
- 1:1 instruction for children

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GoFar

- FAR: "F" Focus/Plan, "A" Act, "R" Reflect
  - Improves behavioral and educational outcomes in FASD
- Parents/Caregivers learn parenting strategies
- Incorporates a computer game to teach children to control impulsive and problematic behavior
- 10 weekly sessions

http://msacd.emory.edu/Research/GOFAR.html





# **Parents and Children Together (PACT)**

Ages: 6-12 years

- 12-week group therapy intervention
  - Equal time spent training parent & child separately, parent & child together
- Parent goals:
  - Understand brain changes due to PAE
  - Prevent/intervene in child's behavioral difficulties
- Child goals:
  - Improve executive function
  - Improve emotional regulation



## **Families Moving Forward**

#### Ages: 3-12 years

- Caregiver support and coaching
  - Education about PAE effects
  - Teaching proactive parenting strategies
- School/provider consultation
- Community resource linkage
- Training and support provided through Seattle office

### **Good Buddies**

- Teaches social skills
- 12-week group sessions for child and parents
- Instruction + practice + homework
- Build a play date
- Explicit, "in-your-pocket"





https://alaskacenterforfasd.org/wp-content/uploads/2020/07/Good-Buddies-Trainer-Workbook-final.pdf-5-14.pdf

# **Evidence Informed Programs for Child Behavior Problems** (not specific for FASD)

- Parent/Child Interaction Therapy (PCIT)
- Parenting through Change
- Incredible Years
- Attachment and Biobehavioral Catch-up (ABC)
- Guided Growth



## **Parent-Child Interaction Therapy (PCIT)**

- For young children with emotional/behavioral disorders
- Improve quality of parent-child relationships and interaction patterns
- Live-coaching model (parents in room with child, therapist watching by one-way mirror or video, coaching through an earpiece)

## **Parenting Through Change**

#### Target: parents of 2-18 yo

- Group parenting intervention
  - weekly lessons (10, 12, and 14 week formats)
- Oppositional/defiant, conduct problems
- ADHD symptoms
- Delinquency, deviant peer associations
- Substance use
- Depression
- Academic problems

• skill encouragement

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- limit setting
- monitoring
- problem solving
- positive involvement
- active communication
- emotional regulation
- academic promotion



### The Incredible Years (Programs for 0-18 y)



https://incredibleyears.com/programs/



## Attachment and Biobehavioral Catch-up (ABC) 6-24 months

- Home visiting program
- Caregiver intervention to help caregivers nurture infants and toddlers, foster their development, and form strong and healthy relationships
- Adapted for telehealth
- ABC team provides training for parent coaches.



Guided Growth: Educational and Behavioral Interventions for Children and Teens with FASDS and Early Trauma

- Interactive training and prerecorded webinars, videos, books
- Leadership Institute technical assistance for creating system of care for families at risk for/affected by prenatal substance exposure

# **GUIDED GROWTH**

Educational and Behavioral Interventions for Children and Teens with Fetal Alcohol Spectrum Disorders and Early Trauma





## **Take Home Points**

Prenatal alcohol exposure is the most common *preventable* cause of intellectual disability and behavior disorder

**SAFEST** Choice Learning Collaborative

- The effects of prenatal alcohol exposure are lifelong
- Documentation of prenatal alcohol exposure is often a limitation of in the diagnosis of FASD
- There are benefits to making a FASD diagnosis
- There are evidence-informed programs to support individuals, families, and providers affected by FASD

PR%F Alliance

# FASD Prevention, Resources, and Advocacy

Kendra Gludt, MPH Director of National Programs
# PR%F Alliance

Our mission is to prevent fetal alcohol spectrum disorders (FASD) and to support all impacted.



## **FASD Resources**

Identification of FASD:

ScreeningDiagnosis

FASD Informed Professionals and Parents Prevention of Prenatal Alcohol Exposure

Culturally Responsive Programs

**Policy Change** 

### FASD-Related Work of the ICCFASD Agencies



https://www.niaaa.nih.gov/interagency-coordinatingcommittee-fetal-alcohol-spectrum-disorders

### Interagency Coordinating Committee on FASD

- **CDC** Centers for Disease Control and Prevention
- **IHS** Indian Health Service
- **HRSA** Health Resources and Services Administration
- **NIH** National Institutes of Health
- ACF Administration for Children & Families
- **CMS** Centers for Medicare & Medicaid Services
- **DOJ** United States Department of Justice

**SAMHSA** Substance Abuse and Mental Health Services Administration

## FASD Research: Collaborative Initiative on FASD



The purpose of this consortium is to inform and develop effective interventions and treatment approaches for Fetal Alcohol Spectrum Disorders (FASD), through multidisciplinary research involving basic, behavioral and clinical investigators and projects. We hope to develop an infrastructure to foster collaboration and coordinate basic, clinical and translational research on FASD.



National Institute on Alcohol Abuse and Alcoholism

CIFASD is supported by NIAAA

### cifasd.org

## **CDC FASD Communication Materials**



https://www.cdc.gov/ncbddd/fasd/partners-tools.html

## **CDC FASD Project: Building FASD Surveillance**

### Understanding Clinical Data and Pathways to Inform Surveillance of Children with FASD

- A feasibility project that will
- Characterize information accessible within health-related data systems for children suspected of or diagnosed with FASD.
- Describe the referral, evaluation, and diagnosis processes.

Findings will be used to inform the development of future public health surveillance activities.

Recipients: Emory University Minnesota Department of Health and Proof Alliance

Period of Performance: 9/1/2022 – 8/31/2025

https://www.cdc.gov/ncbddd/fasd/partnerships.html

## **Online Training and Resources for Medical Professionals**

### **Collaborative for Alcohol-Free Pregnancy**

Centers for Disease Control and Prevention CDC 24/7: Saving Lives. Protecting People™			Search	Q		
Fetal Alcohol Spectrum Disorders (FASDs)						
FASD Homepage						
♠ FASD Homepage	FASD Homepage Online Training and Resources					
Basics	+	Print				
Alcohol Use in Pregnancy	+	Free, online trainings are available for healthcare providers who care for women	Collaborative for			
Interventions	+	<ul> <li>at risk for alcohol use during pregnancy and for those who work with individuals</li> <li>living with fetal alcohol spectrum disorders (FASDs). These online trainings</li> </ul>	Alconol-Free Pregn	Partnering		
Treatments		provide strategies to improve the delivery of care related to FASDs and their prevention. Additional resources are available for medical and community workers to help reduce alcohol use during pregnancy and identify, refer, and care for individuals living with FASDs.		Change		
Data & Statistics	+					

www.cdc.gov/ncbddd/fasd/searchable-training/index.html

### **AAP FASD Toolkit and Resources**



www.aap.org/en/patient-care/fetal-alcohol-spectrum-disorders/

### **ACOG FASD Champions**



ACOG recognizes the integral role ob-gyns play in helping to prevent fetal alcohol spectrum disorders (FASDs). We are committed to giving providers and patients the most up to date resources to combat this public health threat.

www.acog.org/programs/fasd

### **B-SMART Podcast on FASD**



www.bmc.org/addiction/training-education/b-smart





#### Home SAFEST Choice Program About Fetal Alcohol Spectrum Disorders Resources SAFEST Choice FAQs About Us

## Prevent FASD and care for children affected by it

- HRSA funded virtual ECHO<sup>®</sup> sessions for pediatric and prenatal practices, 2020-2024.
- Collaboration between Proof Alliance and Boston Medical Center.
- Teaches healthcare teams how to screen for prenatal alcohol exposure, counsel on FASD, and care for patients impacted by FASD.
- Free Continuing Education Credits.
- Since it started three years ago, 57 clinic practices participated across 15 states.
- Currently recruiting for prenatal practices to enroll, starts in February 2024.







## **Proof Alliance Online Training**



Home Courses Contact Login C

### **Proof Alliance Online Training**

Proof Alliance is your source for the most comprehensive, customizable training and education on fetal alcohol spectrum disorders (FASD). Increase your knowledge, gain a better understanding of the impact of prenatal alcohol exposure and learn more effective approaches to treating and preventing FASD with our on-demand training courses.

### **Featured Courses**



### learn.proofalliance.org

## **Trainings available for:**

- Caregivers and foster caregivers
- Social service providers
- Medical professionals
- Substance use treatment providers
- People in treatment for substance use disorders
- Justice and corrections professionals
- Educators, paraprofessionals, after-school programs
- Middle/ high school students
- College students
- General Population

## **Creative FASD Prevention: Being in Unexpected Places**

### Not just pregnancy related spaces, not just women.

- Liquor stores
- College campuses
- Beer tasting events
- Special Olympics
- Pride festival

### Going where people are getting their information.

- Social media
- Influencers









## **Social Media Campaign:**

# **PR9/6** How much alcohol is safe to drink during pregnancy?

nan beer? Is drinking during the first trimester more harmful than the third trimester? Use this tool to find out.

Let's find out

Pregnancyalcoholcalculator.org Drinkingwhilepregnant.org Fasdproof.org

## **Proof Alliance FASD Communication Materials**

## Busting the Myths about Drinking During Pregnancy



Drinking alcohol during pregnancy can cause birth defects, brain injury, and fetal alcohol spectrum disorders (FASD). There is no known amount of alcohol that can be considered safe during pregnancy. All major health groups advise that if a person is pregnant or may become pregnant, they should abstain from alcohol.

#### There are many myths surrounding alcohol use during pregnancy.

МҮТН	МҮТН	МҮТН
Wine is safe to drink during pregnancy. Wine is safe to drink especially if it's just one or two glasses here and there.	FASD is only common in certain communities.	It's safe to drink alcohol at the end of the pregnancy.
FACT	FACT	FACT
All types of alcohol contain chemicals known as teratogens. These are harmful to a developing baby. Drinking any kind of alcohol can impact the baby's development. The	In the United States, 1 in 7 pregnancies are exposed to alcohol. As many as 1 in 20 children have an FASD. FASD affects people from all races, all ethnicities and all income	The baby's brain develops throughout the entire pregnancy. Drinking at any time during pregnancy can cause permanent brain injury. The safest choice is to not drink if you're pregnant.
safest choice is to not drink any	levels.	





Fetal alcohol spectrum disorders (FASD) include brain injury and disabilities caused by prenatal alcohol exposure.<sup>1,2</sup> Drinking alcohol during pregnancy can cause changes to brain size, structure and functioning.<sup>3</sup> This type of brain injury can lead to issues with behavior.<sup>4</sup>

Without an understanding of the challenges faced by people with FASD, typical behaviors may be seen as purposefully misbehaving or acting out; however, it is often just the opposite. When it seems like a child won't do something, it might be that they can't do it – at least not without support.<sup>5</sup>

#### Remember that everyone with an FASD has the ability to succeed.

Strategies, support and interventions can help improve outcomes, behavior and overall well-being for people with FASD. $^{6}$ 

#### **Challenges and Strategies**

It is important to note that each person with FASD is unique and has different strengths and challenges. Not every person with FASD will demonstrate all of the effects below. More so, this is not a complete list of all the possible behavioral effects of FASD.

Due to brain injury, people with FASD may have difficulty with:

### https://www.proofalliance.org/article/fact-sheets-and-strategy-guides/

A TREATMENT IMPROVEMENT PROTOCOL Addressing Fetal Alcohol Spectrum Disorders (FASD)



A TREATMENT IMPROVEMENT PROTOCOL Addressing Fetal Alcohol Spectrum Disorders (FASD)

## **TIP 58**

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Substance Abuse and Mental Health Services Administration Center for Substance Abuse Prevention

1 Choke Cherry Road Rockville, MD 20857





https://store.samhsa.gov/sites/default/files/d7/priv/sma13-4803.pdf



## Support for Families, Youth, and Caregivers

- Support groups
- Retreats
- Social events
- Life skills
- Advocacy
- Caregiver conference
- Online support spaces
- Panel presentations
- Podcasts
- Resource navigation

## **Proof Alliance Family Support**



#### The Fresh Start Forum

SUPPORT FOR PEOPLE WHO USED ALCOHOL DURING PREGNANCY

EVERY OTHER MONDAY 5:30 P.M. TO 7:30 P.M. CST VIRTUAL: REGISTER FOR LINK IN-PERSON: PROOF ALLIANCE







### Second Saturdays

**FASD** and Me

A VIRTUAL SUPPORT GROUP FOR PEOPLE AGED 17-23 WITH AN FASE LAST WEDNESDAY OF THE MONTH 6:00 P.M. TO 7:30 P.M. CST REGISTER FOR ZOOM LINK

AN IN-PERSON SUPPORT GROUP FOR YOUTH AGES 16-21 WITH AN FASD

SECOND SATURDAYS 12:00 P.M. TO 1:30 P.M. CST 1876 W MINNEHAHA AVE, ST PAUL, MN 55104

Proof Alliance FAMILY NIGHT

ndly activities





<u>6:00 - 8:30 p.m.</u> Proof Alliance <u>1876 Minnehaha Ave W. , St. Paul</u> YOUTH (AGE 15+) CAREGIVERS Meet in the large Meet in the family conference room for a support lounge for crafts, movie on the big screen conversation and respite

Visit Events Calendar: proofalliance.org/events

## **FASD United Affiliate Network**



HOME FASD UNITED - JOIN - AFFILIATE UPDATES EVENTS - 2023 AFFILIATE SUMMIT -

PAY YOUR 2023 AFFILIATE DUES

#### Click to find out more about the FASD United Affiliate in your area.



### fasdunited.org

### **Recovering Mothers Anonymous**



### recoveringmothers.org



The **Our Children Are Sacred** app is your resource for **FASD information** and **returning to culture.** 









DRAFT

## Mandated Reporting: Alcohol Use During Pregnancy

## Policy changes are happening all the time and vary from state to state.



#### https://projects.propublica.org/graphics/maternity-drug-policies-by-state



## HHS Public Access

Womens Health Issues. Author manuscript; available in PMC 2020 May 01.

Published in final edited form as: *Womens Health Issues.* 2019 ; 29(3): 213–221. doi:10.1016/j.whi.2019.02.001.

State policies targeting alcohol use during pregnancy and alcohol use among pregnant women 1985-2016: evidence from the Behavioral Risk Factor Surveillance System

Sarah C.M. Roberts, DrPH<sup>1</sup>, Amy A. Mericle, PhD<sup>2</sup>, Meenakshi S. Subbaraman, PhD, MS<sup>2</sup>, Sue Thomas, PhD<sup>3</sup>, Ryan D. Treffers, JD<sup>3</sup>, Kevin L. Delucchi, PhD<sup>4</sup>, and William C. Kerr, PhD<sup>2</sup>

Conclusions: "Most policies targeting alcohol use during pregnancy do not appear to be associated with less alcohol consumption during pregnancy."

## National Policy Advocacy: FASD RESPECT ACT

### The FASD Respect Act (H.R. 3946/S.1800) is legislation addressing FASD on a national level.

### **Bi-partisan legislation:**

- Co-sponsored in the Senate by Senator Amy Klobuchar (D-Minnesota) and Senator Lisa Murkowski (R-Alaska)
- Co-sponsored in the House of Representatives by Representative Betty McCollum (D-Minnesota, 4th District) and Representative Don Bacon (R-Nebraska, 2nd)



The FASD Respect Act Building the Foundation for Change #FASDRESPECT

https://nofaspolicycenter.org/the-fasd-respect-act/

## **National Policy Advocacy: FASD RESPECT ACT**

### The FASD Respect Act (H.R. 3946/S.1800) would allow the US Department of Health and Human Services to provide:

- Funding for education, awareness, and services across community agencies and systems of care for infants to adults
- Provide funding to state and tribal systems for FASD services throughout the lifespan
- Create Centers for Excellence to guide states and other systems of care in
  - Expanding diagnostic capacity
  - Public awareness and outreach about FASD
  - Training and technical assistance on prevention
  - Supports and interventions for people diagnosed with FASD



https://nofaspolicycenter.org/the-fasd-respect-act/

## **FASD Resources:**



## All of these improve when we increase awareness and decrease stigma.

# **Closing Comments**

## Susan Terwey, MS





## **Closing Comments**

- Biggest regret of my life
- Typical? Yes a lightbulb may be going off for some of you - Clear message, no message, inaccurate message
- Biases often based on class and culture
- Uncomfortable asking "don't want to damage the relationship"
- We don't disclose unless asked repeatedly
- "Make it okay" your openness may open the door for disclosure
- Kids don't blame their moms, we shouldn't either.